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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mounji Bawendi et al.
Serial No. : 10/642,578
Filed : August 19, 2003
Title : HIGHLY LUMINESCENT COLOR-SELECTIVE NANOCRYSTALLINE
MATERIALS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REVOCATION AND NEW POWER OF ATTORNEY

Under 37 CFR §3.73(b), Massachusetts Institute of Technology certifies that it is the assignee of 100% of the right, title and interest in the patent application identified above by virtue of:

☒ An assignment from the inventors of a priority application of the patent application identified above, recorded at the Patent and Trademark Office on July 16, 1998, at reel/frame 9327/0361. A copy of the recorded assignment is enclosed for reference.

☒ An assignment from the inventors of a priority application of the patent application identified above, recorded at the Patent and Trademark Office on October 30, 2000, at reel/frame 011265/0304. A copy of the recorded assignment is enclosed for reference.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the application and appoints:

Harold H. Fox	Reg. No. 41,498
Stuart T.F. Huang	Reg. No. 34,184
D. Douglas Price	Reg. No. 24,514
Charles F. Schill	Reg. No. 27,590
Scott D. Watkins	Reg. No. 36,715

Applicant : Mounji Bawendi et al.
Serial No. : 10/642,578
Filed : August 19, 2003
Page : 2 of 2

Attorney's Docket No.: 14952.0276 C1

of: STEPTOE & JOHNSON LLP
1330 Connecticut Avenue, NW
Washington, DC 20036

with full power of substitution and revocation, to prosecute the application and to transact all business in the United States Patent and Trademark Office connected therewith.

All correspondence regarding the application should be sent to:

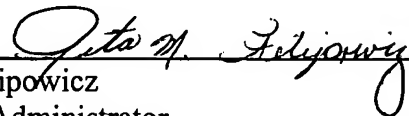
27890

PTO Customer Number

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Respectfully submitted,

Date: 7/1/04


Rita Filipowicz
Patent Administrator
Massachusetts Institute of Technology

Steptoe & Johnson LLP
1330 Connecticut Avenue, NW
Washington, DC 20036-1795
Telephone: 202-429-3000
Facsimile: 202-429-3902

099971276001



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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JANUARY 29, 2001

PTAS

FISH & RICHARDSON P.C.
ERIC L. PRAHL
225 FRANKLIN STREET
BOSTON, MASSACHUSETTS 02110-2804



101529288A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/30/2000

REEL/FRAME: 011265/0304
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
KIM, SUNGJEE

DOC DATE: 09/21/2000

ASSIGNOR:
BAWENDI, MOUNGI G.

DOC DATE: 09/21/2000

ASSIGNOR:
MIKULEC, FREDERIC V.

DOC DATE: 09/19/2000

ASSIGNEE:
MASSACHUSETTS INSTITUTE OF
TECHNOLOGY
77 MASSACHUSETTS AVENUE
CAMBRIDGE, MASSACHUSETTS 02139

SERIAL NUMBER: 09625861
PATENT NUMBER:

FILING DATE: 07/26/2000
ISSUE DATE:

No Docketing Required
Reviewed by Practice Systems
Initials KYM On 2/2/01

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BOSTON OFFICE

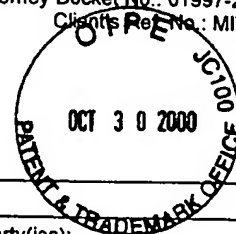
011265/0304 PAGE 2

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-28-2000



101529288

FORM COVER SHEET
TS ONLYSubstitute Form PTO-1595
Attorney Docket No.: 01997-276001
Client's Ref. No.: MIT 8529

Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

Sungjee Kim, Mounji G. Bawendi and Mr.
Frederic V. MikulecAdditional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Massachusetts Institute of Technology
77 Massachusetts Avenue
Cambridge, MA 02139Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: 09/21/2000; 09/21/2000;
09/19/2000.

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s):

09/625,861

B: Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

ERIC L. PRAHL
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒ Enclosed
☐ Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
Deposit Account No. 06-1050.

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11/27/2000 05:00 00000011 09625861

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9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Harold H. Fox
Reg. No. 41,498

Name of Person Signing

Signature

10-30-00

Date

Total number of pages including coversheet, attachments and document: 5

ASSIGNMENT

For valuable consideration, we, MOUNGI G. BAWENDI, FREDERIC V. MIKULEC, and SUNGJEE KIM, hereby assign to Massachusetts Institute of Technology, a Delaware corporation having a place of business at 77 Massachusetts Avenue, Cambridge, MA 02139; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled TELLURIUM-CONTAINING NANOCRYSTALLINE MATERIALS, filed July 26, 2000, and assigned U.S. Serial Number 09/625,861, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge, MA,
this 21 day of September, 2000

[Signature] L.S.
~~SUNJEE KIM~~
SUNGJEE SK

State of Massachusetts :

: ss.

County of Middlesex :

Before me this 21 day of September, 2000, personally
^{SK SUNGJEE}
appeared SUNJEE KIM known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

[Signature]
Notary Public

My Commission Expires:

[Notary's Seal Here]

Deborah Burke
My Comm. Exp
7/2/2004

IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge, MA,
this 21 day of September, 20 00
Moungi G. Bawendi L.S.
MOUNGI G. BAWENDI

State of Massachusetts :

: ss.

County of Middlesex :

Before me this 21 day of September, 20 00, personally
appeared MOUNGI G. BAWENDI known to me to be the person whose name is subscribed to
the foregoing Assignment and acknowledged that he executed the same as his free act and deed
for the purposes therein contained.

Deborah Burke
Notary Public

My Commission Expires:

[Notary's Seal Here]

Deborah Burke
My Comm. Exp
7/2/2004

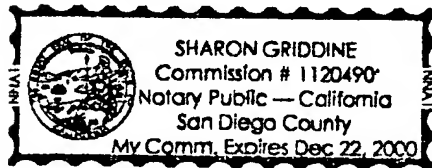
IN WITNESS WHEREOF, I hereto set my hand and seal at LA JOLLA, CA
this 19TH day of SEPTEMBER, 2000
Frederic V. Mikulec L.S.
MR. FREDERIC V. MIKULEC

State of California:

: SS.

County of San Diego:

Before me this 19th day of September, 2000, personally
appeared MR. FREDERIC V. MIKULEC ~~known to me to~~ be the person whose name is
subscribed to the foregoing Assignment and acknowledged that he executed the same as his free
act and deed for the purposes therein contained.



Sharon Griddine
Notary Public

My Commission Expires: Dec 22, 2000

[Notary's Seal Here]



MIT 7771 MRS
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 05, 1998

PTAS



100784159A

CHOATE, HALL & STEWART
MARY ROSE SCOZZAFAVA
EXCHANGE PLACE
53 STATE STREET
BOSTON, MA 02109

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RECORDATION DATE: 07/16/1998

REEL/FRAME: 9327/0361
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAWENDI, MOUNGI

DOC DATE: 07/10/1998

ASSIGNOR:

JENSEN, KLAUS F.

DOC DATE: 07/10/1998

ASSIGNOR:

DABBOUSI, BASHIR O.

DOC DATE: 06/21/1998

ASSIGNOR:

RODRIGUEZ-VIEJO, JAVIER

DOC DATE: 06/19/1998

ASSIGNOR:

MIKULEC, FREDERIC VICTOR

DOC DATE: 07/10/1998

ASSIGNEE:

MASSACHUSETTS INSTITUTE OF
TECHNOLOGY
77 MASSACHUSETTS AVE.
CAMBRIDGE, MASSACHUSETTS 02139

9327/0361 PAGE 2

SERIAL NUMBER: 08969302
PATENT NUMBER:

FILING DATE: 11/13/1997
ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



100784159

settings ☐ ☒ ☐ ▼

To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

100784159

_____ of each party(ies)

2. Name and address of receiving party(ies)

Name: Massachusetts Institute of

Internal Address: Technology

Street Address: 77 Massachusetts Ave.

☐ **Merger**

☐ **Change of Name**

☐ Other

Execution Date: (1), (2), (5) 7/10/98

(3) 6/21/98 (4) 6/19/98

City: Cambridge State: MA ZIP: 02139

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application shall be the date of the correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Applicant.

A. Patent Application No.(s)

B. Patent No.(

I hereby certify that the correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231

08/969,302

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mary Rose Scozzafava

Internal Address: Choate, Hall & Stewart

Exchange Place

Street Address: 53 State Street

City: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-1721

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Manfred *July 16, 1961*

Mary Rose Scozzafava

Name of Person Signing

Mary Rox Herzog
Signature

Signature _____

Date _____

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments

ASSIGNMENT

WHEREAS, We Mounji Bawendi, of ^{Boston M.A.} ~~Cambridge~~, Massachusetts; Klavs F. Jensen of Lexington, Massachusetts; and Frederic Victor Mikulec of Somerville, Massachusetts having invented certain new and useful improvements in **HIGHLY LUMINESCENT COLOR-SELECTIVE MATERIALS** for which we have made application for United States Letters Patent, the said application filed on November 13, 1997, as U.S. Serial No.08/969,302

WHEREAS, Massachusetts Institute of Technology a non-profit educational institution having offices at 77 Massachusetts Avenue, Cambridge, Massachusetts, and its successors and assigns (collectively hereinafter called "the Assignee"), is desirous of acquiring my entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, We do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may granted therefore, and all divisions, reissues, substitutions, continuations; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representative, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge MA
this 10th day of July, 1998.

M. Bawendi
Moungi Bawendi

STATE OF Massachusetts SS.
COUNTY OF Worcester

Before me this 10th day of July, 1998, personally appeared
Moungi Bawendi known to me to be the person whose name of subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and
deed for the purposes therein contained.

Carol A. Phillips
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

CAROL PHILLIPS
Notary Public
My Commission Expires April 23, 2004

IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge MA
this 10th day of July, 1998.

Klavs F. Jensen
Klavs F. Jensen

STATE OF Massachusetts SS.
COUNTY OF Worcester

Before me this 10th day of July, 1998, personally appeared
Klavs F. Jensen known to me to be the person whose name of subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and
deed for the purposes therein contained.

Carol A. Phillips
NOTARY PUBLIC

[Notary's Seai Here]

My Commission Expires:

CAROL PHILLIPS
Notary Public
My Commission Expires April 23, 2004

IN WITNESS WHEREOF, I hereto set my hand and seal at

this 10th day of July, 1998.

Frederick Victor Mikulec
FVM

STATE OF

Massachusetts

SS.

COUNTY OF

Worcester

FVM Before me this 10th day of July, 1998, personally appeared **Frederick Victor Mikulec** known to me to be the person whose name of subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Carol A. Phillips.....
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

CAROL PHILLIPS

Notary Public

My Commission Expires April 23, 2004

ה'תש"ח, י"ב, כ"ב

い

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, I do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said Applications and all patents which may granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

I hereby further agree that I will communicate to said ASSIGNEE, or to its successors, assigns and legal representative, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

SIGNED BY:

Bashir O. Dabbousi

Bashir O. Dabbousi

6/21/98

Witnessed by:

M. Osama B. Dabbousi

Name:

21 June 98

Date:

Rasha Dabbousi ~~Rasha~~

Name:

23 June 98

Date:

ASSIGNMENT

WHEREAS, I, Xavier Rodríguez-Viejo of Sant Cugat del Valles, Spain; having invented certain new and useful improvements in **HIGHLY LUMINESCENT COLOR-SELECTIVE MATERIALS** for which I am making application for a United States Patent, the said application attached hereto; and

WHEREAS, Massachusetts Institute of Technology a non-profit educational institution having offices at 77 Massachusetts Avenue, Cambridge, Massachusetts, and its successors and assigns (collectively hereinafter called "the Assignee"), is desirous of acquiring my entire right, title and interest in and to the invention, and in and to the said Applications and any Letters Patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said Applications and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

I also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said Applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

I hereby further agree that I will communicate to said ASSIGNEE, or to its successors, assigns and legal representative, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

SIGNED BY:



Xavier Rodriguez-Viejo

06-19-98

Witnessed by:

JOSE L. TOURON (DNI 46809691)

Name:

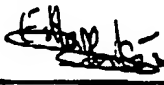


06-19-98

Date:

ESTER HUATOS (DNI 77913498)

Name:



06-19-98

Date: